

**Trust Board Meeting: Thursday 1 November 2012**  
**TB2012.106**

<b>Title</b>	<b>Monthly Self-Certification Report</b>
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<b>Status</b>	For information and decision
<b>History</b>	Board Papers 5 July 2012 and 6 September 2012

<b>Board Lead(s)</b>	Mr Andrew Stevens, Director of Planning and Information			
<b>Key purpose</b>	Strategy	Assurance	Policy	<b>Performance</b>

**Summary**

Summary of key points and decision required (if any) to be included here. A table form as below can be used or simple paragraphs.

1	The Trust's October NHS Trust Oversight Self-Certification return is attached.
2	This continues to reflect a fairly positive position although with some risks to sustained performance.
3	The Board is asked to note the current content of the template.
4	The Board is requested to delegate authority to the Chairman and Chief Executive to sign off the October submission.

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**NHS Trust Oversight Self-Certification****Introduction**

1. The Trust has now made its second submission of the self-certification template which is to be completed on a monthly basis by all applicant FTs as part of the requirements of the Single Operating Model. This return was made on 1 October 2012 and related to data up until the end of August 2012.
2. The return including September data is due to be submitted by the end of this month and the proposed document is attached.

**Current Status**

3. The Trust's GRR score of 1.5 for August gave an Amber/Green rating. (Lower GRR scores represent lower risk). This score is made up of non-compliance with access requirements for patients with learning disabilities and non-delivery of the cancer 62-day wait standard.
4. The September data currently included in the template also give a score of 1.5 (Amber/Green) for the GRR although these include an extrapolation from August cancer performance as validated data is not yet available. The Trust has expressed a preference to the SHA not to submit potentially misleading unverified data prior to shared cancer breaches with other trusts being finalised.
5. As a result of the above the August Governance Risk Rating (GRR) score reflected here is 1.5 compared with 0.5 at the point of submission due to non-achievement of the cancer 62-day wait standard. The Board should also note that the July GRR similarly moved from 1.5 to 2.5 following the paper tabled on 6 September due to failure against the same target.
6. August and September, however, saw an improvement in performance against the Emergency Department four hour wait standard which did not incur any penalties in either month. In addition the number of *Clostridium Difficile* cases for the year has now moved within trajectory.
7. A risk to the GRR figure is posed by data quality issues that prevent the Trust submitting current data for the incomplete RTT measure.
8. The Trust's Financial Risk Rating currently continues to score 3 which gives a Green RAG rating. (FRR scale is 1 to 5 with higher scores representing lower risk).
9. The Quality Sheet continues to include some indicators which are not regularly collected or reported by the Trust.
10. Assurance against the responses provided for the Board Statements is provided by the Board Assurance Framework and by the results of external assessments such as Historical Due Diligence and reviews against the Quality Governance Framework and Board Governance Memorandum.
11. Some red flags within the template are the result of errors in formatting applied to the template provided. Examples are the two on the Contractual Data sheet. The GRR sheet also indicates a failure for the four hour standard for the quarter although this was achieved based on the methodology within the Compliance Framework.

**Conclusion**

12. The current return reflects an improving position although with some risks to performance being sustained.

**Recommendations**

13. The Board is asked to **note** the content of the self-certification return.
14. The Board is requested to **delegate authority** to the Chief Executive to sign off the finalised October submission as required by the SHA.

**Neil Scotchmer, Programme Manager**

**24 October 2012**