

Cover Sheet

Trust Board Meeting in Public: Wednesday 10 May 2023

TB2023.57

Title: Register of Interests, Gifts, Hospitality and Sponsorship

Status: For Information

History: This is an Annual Report

Board Lead: Chief Assurance Officer

Author: Neil Scotchmer, Head of Corporate Governance

Confidential: No

Key Purpose: Assurance

Register of Interests, Gifts, Hospitality and Sponsorship

1. Declarations and Register of Interests

- 1.1. The Trust's Standing Orders require members of the Trust Board to declare any personal interests that may bear on the discharge of their duties as Directors of the Trust. Each member is required to declare interests on appointment, and subsequently each year, or at any point if their personal position changes during the year. Members are specifically asked at the commencement of all public and confidential Board meetings as well as meetings of Board sub-committees to declare any interests deriving from the agenda of that meeting. Declared interests are retained for public scrutiny and published in the Annual Report.
- 1.2. From 1 June 2017, NHS England Guidance on Managing Conflicts of Interest in the NHS came into force, superseding the Standards of Business Conduct for NHS staff (HSG(93)5).
- 1.3. A new Declaration of Interests, Gifts, Hospitality and Sponsorship Policy was approved by the Board on 8 September 2021.
- 1.4. The Trust Board's Register of Interests is attached at Appendix 1. Before the May 2023 meeting of the Trust Board, Board members have been invited to review and refresh their recorded declarations. These declarations will be included in the Annual Report 2022/23.

2. Register of Gifts, Hospitality and Sponsorship

- 2.1. Under Trust Policy, all members of staff are required to declare any gifts valued at over £50 (or multiple gifts with a combined value of over £50), hospitality valued at over £25 and all sponsorship, having first sought approval from their line manager. In line with the Bribery Act 2010, employees should refuse gifts, hospitality or sponsorship or benefits from a third party which may compromise or may be seen to compromise their professional position. This may in certain circumstances also include a gift, hospitality or sponsorship offered to a family member.
- 2.2. Details of the declarations of gifts, hospitality and sponsorship made by Trust Board members are attached at Appendix 2. Before the May 2022 meeting of the Trust Board, Board members will be invited to review and amend their recorded declarations. These declarations will be included in the Annual Report 2022/23.
- 2.3. Trust-wide consultation was undertaken when the Trust Policy was updated in 2021. Declaration forms are included in the information packs

given to all new starters, and explanations of the Trust's policies on gifts and hospitality and interests form part of the corporate induction.

- 2.4. A register containing details of declarations made by all staff other than members of the Trust Board is maintained by the Corporate Governance team.

3. Recommendations

- 3.1. The Trust Board is asked to

- Note the declaration of interests.
- Note the contents of the Register of Gifts, Hospitality, and Sponsorship.
- Consider any potential conflicts of interest arising from the entries in the registers.

Board of Directors' Register of Interests - 1 April 2022 – 31 March 2023

Directorships, including Non-Executive Directorships	Business, partnership or consultancies	Majority or controlling shareholdings	Charity or voluntary organisations	Voluntary or other organisation contracting for NHS services	Research funding/ grants	Pooled funds	Royalties, licence fees or similar
Professor Sir Jonathan Montgomery – Trust Chair							
<ul style="list-style-type: none"> University College London – Professor Visiting Professor in Bioethics Governance, University of Oxford 			<ul style="list-style-type: none"> CIS'ters – patron All Saints, Botley, Hampshire Parish Church - PCC Member and Trustee Chair of Trustees for the Portsmouth Diocesan Council for Social Responsibility 	<ul style="list-style-type: none"> Member, Bayer Bioethics Council (to 12 December 2023) 			<ul style="list-style-type: none"> Oxford University Press
Dr Bruno Holthof - Chief Executive Officer (to 30 June 2022)							
<ul style="list-style-type: none"> Tristel PLC - Chair Tubize Financiere - Board Member 		<ul style="list-style-type: none"> Member of Executive of Oxford University Clinic 					

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Professor Meghana Pandit - Chief executive Officer (from 1 July 2022) / Chief Medical Officer (to 30 June 2022)							
<ul style="list-style-type: none"> Honorary Professor Warwick University (from 1 February 2022) Non-Executive Director - Medical Protection Society WEF 1September 2021 			<ul style="list-style-type: none"> Trustee, Medical Detection Dogs (from January 2023) 				
Ms Anne Tutt - Vice-Chair and Non-Executive Director, Senior Independent Director							
<ul style="list-style-type: none"> The International Network for Advancing Science and Policy Ltd - NED and Chair of Audit Committee (Term of Office ended December 2022) Co-opted lay member of Council 	<ul style="list-style-type: none"> Ownership of a private business - ATuttAssociates LTD Co-opted Board member Hook Norton Community Land Trust Ltd, a Community Benefit Society 	<ul style="list-style-type: none"> Member of Executive of Oxford University Clinic 	<ul style="list-style-type: none"> Director and Trustee of Oxford Hospitals Charity Board Member of IASAB (the internal Audit Standard Advisory Board), government body advising on the application of Internal Audit Standards in the public sector Treasurer and Trustee of Action Aid UK (from 1 Sept 2022) 				

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for Swansea University			<ul style="list-style-type: none"> Member of the Advisory Board - The Episcopal Churches of South Sudan & Sudan University Partnership 				
Ms Claire Flint - Non-Executive Director							
<ul style="list-style-type: none"> National Nuclear Laboratory (BEIS arm's length body) - NED AWE – Non-Executive Director 							
Ms Paula Hay-Plumb - Non-Executive Director							
<ul style="list-style-type: none"> The Crown Estate - Commissioner and NED (until 31 December 2022) The Crown Estate – Board Counsellor (from 1 January 2023) 							

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<ul style="list-style-type: none"> • Trustee of Calthorpe Estates and Director of associated Calthorpe property companies • Michelmersh Brick Holdings PLC – Non-Executive Director • Trustee of the Mineworkers’ Pension Scheme 							
Ms Sarah Hordern - Non-Executive Director							
<ul style="list-style-type: none"> • Director GVS Equestrian Consulting Ltd from 18/8/22 		<ul style="list-style-type: none"> • Director and sole shareholder of Perspicio Ltd 					
Ms Katie Kapernaros - Non-Executive Director							

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<ul style="list-style-type: none"> • January 2019 – present NED, The Property Ombudsman • February 2019 - 30 June 2021 NED, BPDTS • April 2020 – present NED, The Pensions Regulator • November 2021 – present NED, Manx Care 			<ul style="list-style-type: none"> • January 2019 – present Trustee, Wallingford Rowing Club 				
Professor Anthony Schapira - Non-Executive Director							
<ul style="list-style-type: none"> • University College London, Professor and Head of Department 		<ul style="list-style-type: none"> • Member of Executive of Oxford University Clinic 		<ul style="list-style-type: none"> • NHS Independent Reconfiguration Panel 	<ul style="list-style-type: none"> • Medical Research Council • Parkinson’s UK • Cure Parkinson’s Trust 		<ul style="list-style-type: none"> • Wiley • Oxford University Press • Elsevier Cambridge University Press

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					<ul style="list-style-type: none"> • Michael J Fox Foundation 		
<p>Professor Gavin Screaton - Non-Executive Director</p>							
<ul style="list-style-type: none"> • Vaccine Scientific Advisory Board, GSK Consultant • Trustee, Jenner Vaccine Foundation • University College Oxford – Fellow • Head of Division Member of Council - Medical Sciences Division, Oxford University 	<ul style="list-style-type: none"> • RQ Bio, Consultant and minority shareholding • Astra Zeneka, Consultant 	<ul style="list-style-type: none"> • Member of Executive of Oxford University Clinic 					

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Professor Ash Soni - Non-Executive Director							
<ul style="list-style-type: none"> NED, Sussex Integrated Care Board 	<ul style="list-style-type: none"> Owner, Copes Pharmacy; Stansted Pharmacy Part-owner, Docklands Pharmacy 		<ul style="list-style-type: none"> President, National Association of Primary Care (from September 2022) Vice President, International Pharmacy Federation (to September 2022) 				
Ms Joy Warmington - Non-Executive Director							
<ul style="list-style-type: none"> Trustee, The Active Wellbeing Society (TAWs) 	<ul style="list-style-type: none"> Chief Executive Officer, brap 						
Mr Jason Dorsett - Chief Finance Officer							
<ul style="list-style-type: none"> Director of OUH Commercial Partners Limited Member of OUH Ventures LLP 	None	None	None	None	None	None	Honoraria for surveys
Ms Sam Foster - Chief Nursing Officer							
None	None	None	None	None	None	None	None

Directorships, including Non-Executive Directorships	Business, partnership or consultancies	Majority or controlling shareholdings	Charity or voluntary organisations	Voluntary or other organisation contracting for NHS services	Research funding/grants	Pooled funds	Royalties, licence fees or similar
Ms Sara Randall - Chief Operating Officer							
None	None	None	None	None	None	None	None
Mr Terry Roberts - Chief People Officer (<i>Joint Chief People Officer from 1 August</i>)							
• Director of Transform Consultancy Limited							
Ms Rachel Stanfield , Joint Chief People Officer (<i>from 1 August</i>)							
None	None	None	None	None	None	None	None
Dr Anny Sykes - Chief Medical Officer (from 1 July 2022)							
None	None	None	None	None	None	None	None
Mr David Walliker - Chief Digital and Partnership Officer							
			• School governor, Ysgol Penmorfa, Prestatyn				
Ms Eileen Walsh - Chief Assurance Officer							

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<ul style="list-style-type: none"> • Director in Health Governance Consulting Limited 	<ul style="list-style-type: none"> • Partner in Kirby and Kirby 						
Ms Clare Winch - Acting Chief Assurance Officer <i>(from 1 April 2022 to 31 August)</i>							
None	None	None	None	None	None	None	None

Board of Directors' Register of Gifts, Hospitality and Sponsorship

Board Register of Gifts, Hospitality and Sponsorship		
Board member	Position	Details/Return (description, value, date)
Professor Sir Jonathan Montgomery	Trust Chair	Nil
Dr Bruno Holthof	Chief Executive Officer (to 30 June 2022)	Nil
Professor Meghana Pandit	Chief Executive Officer (from 1 July 2022) / Chief Medical Officer (to 30 June 2022)	Invited Panellist at Global Health Comparator Conference - 13-14 March 2023 Travel and Accommodation for two days
Ms Anne Tutt	Vice-Chair and Non-Executive Director	Nil
Ms Claire Flint	Non-Executive Director	Nil
Ms Paula Hay-Plumb	Non-Executive Director	Nil
Ms Sarah Hordern	Non-Executive Director	Nil
Ms Katie Kapernaros	Non-Executive Director	Nil
Professor Anthony Schapira	Non-Executive Director	Nil
Professor Gavin Screaton	Non-Executive Director	Nil
Mr Jason Dorsett	Chief Finance Officer	Nil
Ms Sam Foster	Chief Nursing Officer	Christmas hamper gift from Envertiz Consultancy, approx. £60 value, December 2022
Ms Sara Randall	Chief Operating Officer	Nil
Mr Terry Roberts	Chief People Officer, Joint Chief People Officer (from 1 August)	Nil

Board Register of Gifts, Hospitality and Sponsorship		
Board member	Position	Details/Return (description, value, date)
Ms Rachel Stanfield	Joint Chief People Officer (from 1 August)	Nil
Dr Anny Sykes	Chief Medical Officer (from 1 July 2022)	Nil
Mr David Walliker	Chief Digital and Partnerships Officer	<p>Invited delegate / contributor at KLAS Global EHR Research conference 6th – 9th June 2022 Accommodation for 3 days</p> <p>Invited panellist Health Partnership Network – 27th March 2023. Accommodation for 1 night</p> <p>Delegate attendance at annual Cerner Conference. 4 nights' accommodation Thursday, 16th to 20th of October 2022.</p>
Ms Eileen Walsh	Chief Assurance Officer	Nil
Ms Clare Winch	Acting Chief Assurance Officer (from 1 April 2022 to 31 August)	Nil