

Cover Sheet

Trust Board Meeting in Public: Wednesday 12 May 2021

TB2021.41

Title: Register of Interests, Gifts and Hospitality

Status: For Information

History: This is an Annual Report

Board Lead: Chief Assurance Officer

Author: Marilyn Rackstraw, Corporate Governance Manager

Confidential: No

Key Purpose: Assurance

Executive Summary

1. The Trust's Standing Orders require members of the Board to declare any personal interests that may bear on their duties as Directors of the Trust.
2. The acceptance of gifts, hospitality, preferential treatment in private transactions, sponsorship or loyalty schemes as an incentive for entering into business transactions is specifically prohibited by the Bribery Act 2013.
3. From 1 June 2017, NHS England Guidance on Managing Conflicts of Interest in the NHS came into force, superseding the Standards of Business Conduct for NHS staff (HSG(93)5).
4. The Register containing Board members' declarations for the year 2020/21 will be submitted to the Trust Board and these declarations will be included in the Annual Report 2020/21.
5. In addition, details of gifts, hospitality, and sponsorship as declared by Trust Board members are included.

Recommendations

6. The Trust Board is asked to
 - Note the declaration of interests.
 - Note the contents of the Register of Gifts, Hospitality, and Sponsorship.
 - Consider any potential conflicts of interest arising from the entries in the registers.

Register of Interests, Gifts and Hospitality

1. Declarations and Register of Interests

- 1.1. The Trust's Standing Orders require members of the Trust Board to declare any personal interests that may bear on the discharge of their duties as Directors of the Trust. Each member is required to declare interests on appointment, and subsequently each year, or at any point if their personal position changes during the year. Members are specifically asked at the commencement of all public and confidential Board meetings as well as meetings of Board sub-committees to declare any interests deriving from the agenda of that meeting. Declared interests are retained for public scrutiny and published in the Annual Report.
- 1.2. From 1 June 2017, NHS England Guidance on Managing Conflicts of Interest in the NHS came into force, superseding the Standards of Business Conduct for NHS staff (HSG(93)5).
- 1.3. The Trust's Declaration of Interests, Gifts, Hospitality and Sponsorship Policy ["Trust Policy"] specifies the mechanism designed to ensure that all relevant and material interests, as well as gifts, hospitality and sponsorship are appropriately declared, recorded and monitored.
- 1.4. Relevant and material interests are depicted as:
 - Directorships, including Non-executive Directorships held in private companies or PLCs (with the exception of those of dormant companies);
 - Ownership or part-ownership of private companies, businesses or consultancies likely or possibly seeking to do business with the NHS;
 - Majority or controlling shareholdings in organisations likely or possibly seeking to do business with the NHS;
 - A position of Authority in a charity or voluntary organisation in the field of health and social care;
 - Any connection with a voluntary or other organisation contracting for NHS services;
 - Research funding/grants that may be received by an individual or their department;
 - Interests in pooled funds that are under separate management.
- 1.5. The Trust Board's Register of Interests is attached at Appendix A. Members of the Board have also declared their continued personal compliance with the NHS Codes of Conduct and Accountability.

2. Register of Gifts, Hospitality and Sponsorship

- 2.1. Under Trust Policy, all members of staff are required to declare any gifts, hospitality and sponsorship. One of the main purposes of this is to ensure that clinical decision making is not unduly influenced by the offer of gifts, and also that commercial decisions, such as in relation to procurement, remain open, transparent and beyond reproach. These requirements have been further strengthened by the Bribery Act 2010.
- 2.2. Details of the declarations of gifts, hospitality and sponsorship made by Trust Board members are attached at Appendix B.
- 2.3. The Trust has taken steps to raise awareness of the need to submit declarations of interests, and to declare any gifts, hospitality and sponsorship. A summary of the Bribery Act 2010, together with a statement from the Chief Executive, is posted on the Trust's website. Trust-wide consultation was undertaken when the Trust Policy was updated. Declaration forms are included in the information packs given to all new starters, and explanations of the Trust's policies on gifts and hospitality and interests form part of the corporate induction.
- 2.4. A register containing details of declarations made by all staff other than members of the Trust Board is maintained by the Corporate Governance team and is available for inspection upon request.

3. Recommendations

- 3.1. The Trust Board is asked to
 - Note the declaration of interests pending further updates.
 - Note the contents of the Register of Gifts, Hospitality, and Sponsorship.
 - Consider any potential conflicts of interest arising from the entries in the registers.

Board of Directors' Register of Interests 2020/21

| Board of Directors' Register of Interests 2020/21 | | | | | | | | | |
|---|-----------------------|---|--|---|---|--|--------------------------|--------------|------------------------------------|
| Board member | Post | Directorships, including Non-Executive Directorships | Business, partnership or consultancies | Majority or controlling shareholdings | Charity or voluntary organisations | Voluntary or other organisation contracting for NHS services | Research funding/ grants | Pooled funds | Royalties, licence fees or similar |
| Professor Sir Jonathan Montgomery | Chair | University College London - Professor Health Data Research UK - NED (until 1 April 2021) | | | CIS'ters - patron All Saints, Botley Hampshire Parish Church - PCC Member and Trustee Chair of Trustees for the Portsmouth Diocesan Council for Social Responsibility (with effect from 1 January 2021) | | | | |
| Dr Bruno Holthof | Chief Executive | Tristel Plc - Chair Tubize Financiere - Board Member | | Member of Executive of Oxford University Clinic | | | | | |
| Mr Jason Dorsett | Chief Finance Officer | Director of OUH Commercial Partners Limited | | | | | | | |

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| | | Member of OUH Ventures LLP | | | | | | | |
| Ms Sam Foster | Chief Nursing Officer | | | | | | | | |
| Mr Terry Roberts | Chief People Officer | | | | | | | | |
| Professor Meghana Pandit | Chief Medical Officer | Professor of Practice Warwick University | | | | | | | |
| Ms Sara Randall | Chief Operating Officer | | | | | | | | |
| Mr David Walliker | Chief Digital and Partnerships Officer | | | | | | | | |
| Ms Eileen Walsh | Chief Assurance Officer | Director in Health Governance Consulting Limited | Partner in Kirby and Kirby | | | | | | |
| Mrs Anne Tutt | Non-Executive Director | The International Network for Advancing Science and Policy Ltd - NED and Chair of Audit Committee Member of DFID Audit & Risk Assurance | Ownership of a private business - A Tutt Associates | Member of Executive of Oxford University Clinic | Director and Trustee of Oxford Hospitals Charity Member of the Advisory Board - The Episcopal Churches of South Sudan & Sudan | | | | |

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| | | <p>Committee - Ended August 2020</p> <p>Co-opted lay member of Council for Swansea University</p> | | | <p>University Partnership - Churches from Church</p> <p>Board Member of IASAB (the internal Audit Standard Advisory Board), Government body advising on the application of Internal Audit Standards in the public sector</p> | | | | |
| Ms Claire Flint | Non-Executive Director | National Nuclear Laboratory (BEIS arm's length body) - NED | | | Vice Chair - Scope | | | | |
| Ms Paula Hay-Plumb | Non-Executive Director | <p>The Crown Estate - Commissioner and NED</p> <p>Hyde Housing Association - ended 31 Dec 2020</p> <p>Aberforth Smaller Companies Trust PLC -</p> | | | | | | Small investment in Aberforth Smaller Companies Trust PLC | |

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| | | NED Trustee of Calthorpe Estates and Director of associated Calthorpe property companies Michelmersh Brick Holdings PLC - NED | | | | | | | |
| Ms Sarah Hordern | Non-Executive Director | NED Newbury Building Society Director of Modulous Ltd, Modulous Projects Ltd, and Modulous (Lewisham) Ltd Director of Pespicio Ltd | CFO and Group Development Director at Modulous | Director and sole shareholder of Pespicio Ltd | | | | | |
| Ms Katie Kapernaros | Non-Executive Director | January 2019 - present NED, The Property Ombudsman. February 2019 - present (Will end 30 June 2021) NED, BPDTS April 2020 - present NED, The Pensions | | | | | | | |

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| | | Regulator. November 2021 - present NED, Manx Care January 2019 - present Trustee, Wallingford Rowing Club | | | | | | | |
| Professor Anthony Schapira | Non-Executive Director | University College London, Professor and Head of Department | | Member of Executive of Oxford University Clinic | | NHS Independent Reconfiguration Panel | MRC, Parkinson UK, Cure Parkinson Trust MJ Fox Foundation | | Wiley, OUP, Elsevier Camb UP |
| Professor Gavin Screaton | Non-Executive Director | Vaccine Scientific Advisory Board, GSK Consultant University College Oxford – Fellow Head of Division Member of Council - Medical Sciences Division, Oxford | | Member of Executive of Oxford University Clinic | | | | | |

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| | | University | | | | | | | |

Board of Directors' Register of Gifts, Hospitality and Sponsorship 2020/21

| Board of Directors' Register of Gifts, Hospitality and Sponsorship 2020/21 | | |
|---|--|-----------------------|
| Board member | Position | Details/Return |
| Professor Sir Jonathan Montgomery | Chair | Nil |
| Mrs Anne Tutt | Vice Chair and Non-Executive Director | Nil |
| Ms Paula Hay-Plumb | Non-Executive Director | Nil |
| Ms Claire Flint | Non-Executive Director | Nil |
| Professor Gavin Screaton | Non-Executive Director | Nil |
| Ms Sarah Hordern | Non-Executive Director | Nil |
| Ms Katie Kapernaros | Non-Executive Director | Nil |
| Professor Anthony Schapira | Non-Executive Director | Nil |
| Dr Bruno Holthof | Chief Executive | Nil |
| Professor Meghana Pandit | Chief Medical Officer | Nil |
| Ms Sara Randall | Chief Operating Officer | Nil |
| Mr Jason Dorsett | Chief Finance Officer | Nil |
| Mr David Walliker | Chief Digital and Partnerships Officer | Nil |
| Mr Terry Roberts | Chief People Officer | Nil |
| Ms Sam Foster | Chief Nursing Officer | Nil |
| Ms Eileen Walsh | Chief Assurance Officer | Nil |