

Trust Board Meeting in Public: Wednesday 11 May 2016
TB2016.54

Title	Register of Interests and Register of Gifts, Hospitality and Sponsorship Report
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Status	For Information
History	This is an annual report to the Board

Board Lead	Ms Eileen Walsh, Director of Assurance			
Key purpose	Strategy	Assurance	Policy	Performance

Summary

1	The Trust's Standing Orders require members of the Board to declare any personal interests that may bear on their duties as Directors of the Trust.
2	The acceptance of gifts, hospitality, preferential treatment in private transactions, sponsorship or loyalty schemes as an incentive for entering into business transactions is specifically prohibited by the Bribery Act 2013.
3	The Register containing Board members' declarations for the year 2015/16 is attached for noting. These declarations will be included in the Annual Report.
4	In addition, details of gifts, hospitality, and sponsorship as declared by Trust Board members are included.

Recommendation

5. The Trust Board is asked to:
- Note the declaration of interests for Board members – this will be included in the Annual Report;
 - Note the contents of the Register of Gifts, Hospitality, and Sponsorship.
 - Discuss any potential conflicts of interest arising from the entries in the both registers.

Declarations and Register of Interests

6. The Trust's Standing Orders require members of the Trust Board to declare any personal interests that may bear on the discharge of their duties as Directors of the Trust. Each member is required to declare interests on appointment, and subsequently each year, or at any point if their personal position changes during the year. Members are specifically asked at the commencement of all public and confidential Board meetings as well as meetings of Board sub-committees to declare any interests deriving from the agenda of that meeting. Declared interests are retained for public scrutiny and published in the Annual Report.
7. The Trust's updated Declaration of Interests, Gifts, Hospitality and Sponsorship Policy ["Trust Policy"] specifies the mechanism designed to ensure that all relevant and material interests, as well as gifts, hospitality and sponsorship are appropriately declared, recorded and monitored.
8. Relevant and material interests are depicted as:
 - a) Directorships, including Non-executive Directorships held in private companies or PLCs (with the exception of those of dormant companies);
 - b) Ownership or part-ownership of private companies, businesses or consultancies likely or possibly seeking to do business with the NHS;
 - c) Majority or controlling share holdings in organisations likely or possibly seeking to do business with the NHS;
 - d) A position of Authority in a charity or voluntary organisation in the field of health and social care;
 - e) Any connection with a voluntary or other organisation contracting for NHS services;
 - f) Research funding/grants that may be received by an individual or their department;
 - g) Interests in pooled funds that are under separate management.
9. The Trust Board's Register of Interests is attached at Appendix A. Members of the Board have also declared their continued personal compliance with the NHS Codes of Conduct and Accountability.

Register of Gifts, Hospitality and Sponsorship

10. Under Trust Policy, all staff are required to declare any gifts, hospitality and sponsorship. One of the main purposes of this is to ensure that clinical decision making is not unduly influenced by the offer of gifts, and also that commercial decisions, such as in relation to procurement, remain open, transparent and beyond reproach. These requirements have been further strengthened by the Bribery Act 2010.
11. Details of the declarations made by Trust Board members are attached at Appendix B.
12. The Trust has taken steps to raise awareness of the need to submit declarations of interests, and to declare any gifts, hospitality and sponsorship. A summary of the Bribery Act 2010, together with a statement from the Chief Executive, is posted on the Trust's website. Trust-wide consultation was undertaken on the updated Trust Policy. Declaration forms are included in the information packs given to all new

starters, and explanations of the Trust's policies on gifts and hospitality and interests form part of the corporate induction.

13. A register containing details of declarations made by all staff other than Trust Board is maintained by the Corporate Governance team and is available for inspection upon request.

Recommendations

14. The Trust Board is asked to:
 - Note the declaration of interests for Board members – this will be included in the Annual Report;
 - Note the contents of the Register of Gifts, Hospitality, and Sponsorship.
 - Discuss any potential conflicts of interest arising from the entries in the both registers.

Eileen Walsh
Director of Assurance
May 2016

Register of Interest – Updated 26/04/16

Register of Interest								
Board member	Post	Directorships, including Non-executive Directorships	Business, partnership or consultancy	Majority or controlling share holdings	Charity or voluntary organisations	Voluntary or other organisation	Research funding/ grants	Pooled Funds
Professor Sir John Bell	Non-Executive Director	Oxford University - Regius Professor of Medicine Oxford University Hospitals NHS FT - Non-Exec Director Gray Laboratory Cancer Research Centre - Non-Exec Director Roche AG Pharma - Non-Exec Director Genetech - Non-Exec Director Oxagen Ltd (Biotech) - Non-Exec Director Atopix - Non-Exec Director Oxford Health Alliance - Chairman GMEC - Chairman Atopix - Non-Executive Director Immunocore - Non-Exec Director Drayson Technologies - Non-Exec Director Precision Medicine Catapult - Non-Exec Director Office for the strategic coordination of health			Rhodes Trust – Emeritus Trustee Ewelme Almshouse Charity – Master Trustee			

Register of Interest – Updated 26/04/16

Register of Interest								
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		research - Chairman UK Life Sciences Champion Genomics England Ltd Board - Member Science and Technology Honours Committee - Chairman Hakluyt & Co - Advisor Bill and Melinda Gates Foundation - Chairman, Global Health SAB Robertson Foundation - Advisor Oak Foundation - Advisor GoH Capital - Advisor Carrick Therapeutics Ltd - Advisor						
Dame Fiona Caldicott	Trust Chairman, NED	NED and Company Secretary Waters 1802 Ltd	consultancy for the DOH 1-2 days per week			National Data Guardian for Healthcare - appointed in November 2014		
Sir Jonathan Michael	CEO – to 30 September 2015	Director: Shelford Health Roundtable	Director, Beechlawn Consulting Ltd					
Mr Andrew Stevens	Director of Planning and Information							
Dr Tony	Medical Director							

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Berendt								
Mr Mark Power	Director of Organisational Development & Workforce							
Mr Mark Mansfield	Director of Finance & Procurement							
Mr Paul Brennan	Director of Clinical Services							
Ms Catherine Stoddart	Chief Nurse							
Mr Mark Trumper	Director of Development and The Estate	Trustee - Newbury Rugby Club						
Ms Eileen Walsh	Director of Assurance	Director in Health Governance Limited from October 2014	Director in Health Governance Consulting to October 2014					
Geoff Salt	Non-Executive Director				Trustee, Nuffield Medical Trust, Oxford Kidney Unit Fund			
Anne Tutt	Non-Executive Director	NED and Trustee of The Social Investment Business Foundation Ltd. Deputy Chair of the	Ownership of a private business - A Tutt Associates		Trustee and Chair of the Audit Committee of the International			

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		<p>Social Investment Business Ltd and Chair of the Finance Committee.</p> <p>Member of Audit & Risk Assurance Committee of Home Office</p> <p>Member of DEFRA Audit & Risk Assurance Committee</p> <p>Member of DFID Audit & Risk Assurance Committee</p> <p>Member of APHA Audit & Risk Assurance Committee</p> <p>Board Member of IASAB (the internal Audit Standard Advisory Board) Government body advising on the application of Internal Audit Standards in the public sector.</p> <p>NED of Social and Sustainable capital LLP and Chair of the Audit and Finance Committee</p>			<p>Network for the availability of scientific papers</p> <p>Section 11 Trustee Oxford Radcliffe Hospitals Charitable Fund and Chairman of the Audit Committee</p>			

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		International Network for the availability of Scientific Publications - Director and Chair of the Audit Committee Non- Executive Director & Chair of the Audit Committee of NextEnergy European Solar Utility PLC						
Alistair Cameron	Non-Executive Director	Chief Financial Officer - Post Office Limited			Mrs Cameron, member of the fundraising Committee for Children's Hospital, Member of the Salus organisation fundraising group. Magnus Cameron (son), member of the Young People's Executive (YIPpEe)			
Chris	Non-Executive	Prescription Medicines				Chairman of the		

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Goard	Director	Code of Practice Authority Appeals Board Member				Genetic Alliance UK (an organisation that cooperates with and lobbies both the NHS and the Government here and in Brussels) Magistrate on Buckinghamshire Bench for Adult Criminal Court & Family Court		
David Mant	Associate Non-Executive Director	Member of the Oxford University Nuffield Department of Primary Care Health Sciences Honorary Consultant with Oxford Health NHS Trust					Research grant holder from medical charities, EU, Department of Health and NIHR	
Peter Ward	Non-Executive Director	Director of John Laing (Cambridge) Ltd, Forum Cambridge LLP			Member of the United Nations Economic Commission for Europe PPP Business Advisory Board.			
Dr Bruno Holthof	Chief Executive WEF 1 October 15	Barco NV - Board Member B-Post - Board Member						

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		Aceso Global - Board Member						

Register of Gifts Hospitality and Sponsorship (Trust Board)		
Name	Position	Return/Details
Trust Board Members		
Dame Fiona Caldicott	Chairman	
Geoffrey Salt	Non-Executive Director	
Anne Tutt	Non-Executive Director	
Peter Ward	Non-Executive Director	
Alisdair Cameron	Non-Executive Director	
Professor Sir John Bell	Non-Executive Director	
Chris Goard	Non-Executive Director	
Professor David Mant OBE	Non-Executive Director	
Sir Jonathan Michael	Chief Executive – to 30 September 2015	
Dr Bruno Holthof	Chief Executive - effective 1 October 2015	
Dr Tony Berendt	Medical Director	
Paul Brennan	Director of Clinical Services	
Mr Mark Power	Director of Organisational Development and Workforce	
Mark Mansfield	Director of Finance and Procurement	
Andrew Stevens	Director of Planning and Information	Lunch / Dinner bought by Cerner during Study Tour for NHS Health Systems – Total value £80.00
Mark Trumper	Director of Development and the Estate	
Ms Catherine Stoddart	Chief Nurse	
Ms Eileen Walsh	Director of Assurance	

