

**GOVERNANCE RISK RATINGS**

**Oxford University Hospitals NHS Trust**

Insert YES, NO or N/A (as appropriate)

Area	Ref	Indicator	Sub Sections	Thresh- old	Weight- ing	Historic Data			Current Data				Board Action	
						Qtr to Dec-12	Qtr to Mar-13	Qtr to Jun-13	Jul-13	Aug-13	Sep-13	Qtr to Sep-13		
Effectiveness	1a	Data completeness: Community services comprising:	Referral to treatment information	50%	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
			Referral information	50%										
			Treatment activity information	50%										
	1b	Data completeness, community services: (may be introduced later)	Patient identifier information	50%		N/A	N/A	N/A	N/A	N/A	N/A	N/A		
			Patients dying at home / care home	50%		N/A	N/A	N/A	N/A	N/A	N/A			
1c	Data completeness: identifiers MHMS		97%	0.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
1d	Data completeness: outcomes for patients on CPA		50%	0.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
Patient Experience	2a	From point of referral to treatment in aggregate (RTT) – admitted	Maximum time of 18 weeks	90%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	2b	From point of referral to treatment in aggregate (RTT) – non-admitted	Maximum time of 18 weeks	95%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	2c	From point of referral to treatment in aggregate (RTT) – patients on an incomplete pathway	Maximum time of 18 weeks	92%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	2d	Certification against compliance with requirements regarding access to healthcare for people with a learning disability		N/A	0.5	No	Yes	Yes	Yes	Yes	Yes	Yes		
Quality	3a	All cancers: 31-day wait for second or subsequent treatment, comprising:	Surgery	94%	1.0	Yes	Yes	Yes	No	Yes	Yes	Yes	Based on internally validated data uncorrected for shared breaches.	
			Anti cancer drug treatments	98%										
			Radiotherapy	94%										
	3b	All cancers: 62-day wait for first treatment:	From urgent GP referral for suspected cancer	85%	1.0	Yes	Yes	No	Yes	Yes	No	No	Based on internally validated data uncorrected for shared breaches.	
			From NHS Cancer Screening Service referral	90%										
	3c	All Cancers: 31-day wait from diagnosis to first treatment		96%	0.5	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Based on internally validated data uncorrected for shared breaches.	
	3d	Cancer: 2 week wait from referral to date first seen, comprising:	all urgent referrals	93%	0.5	Yes	Yes	Yes	No	Yes	Yes	Yes	Based on internally validated data uncorrected for shared breaches.	
			for symptomatic breast patients (cancer not initially suspected)	93%										
	3e	A&E: From arrival to admission/transfer/discharge	Maximum waiting time of four hours	95%	1.0	Yes	No	No	Yes	No	Yes	Yes	97.41% in July, 94.30% in August and 85.32% in September.	
	3f	Care Programme Approach (CPA) patients, comprising:	Receiving follow-up contact within 7 days of discharge	95%	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
			Having formal review within 12 months	95%										
	3g	Minimising mental health delayed transfers of care		≤7.5%	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
	3h	Admissions to inpatient services had access to Crisis Resolution/Home Treatment teams		95%	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
3i	Meeting commitment to serve new psychosis cases by early intervention teams		95%	0.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
3j	Category A call – emergency response within 8 minutes	Red 1	80%	0.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
		Red 2	75%	0.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
3k	Category A call – ambulance vehicle arrives within 19 minutes		95%	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A			
Safety	4a	Clostridium Difficile	Is the Trust below the de minimus		1.0	No	No	Yes	Yes	Yes	Yes	Yes	5 cases in August with 25 cases ytd against a threshold of 35.	
			Is the Trust below the YTD ceiling	70		Yes	Yes	Yes	Yes	Yes	Yes			
	4b	MRSA	Is the Trust below the de minimus	0	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes	A single case in September was assessed as unavoidable by Oxfordshire CCG and so is not recorded against the zero avoidable cases target.	
			Is the Trust below the YTD ceiling	0		Yes	Yes	Yes	Yes	Yes	Yes			
	CQC Registration													
	A	Non-Compliance with CQC Essential Standards resulting in a Major Impact on Patients		0	2.0	No	No	No	No	No	No	No		
B	Non-Compliance with CQC Essential Standards resulting in Enforcement Action		0	4.0	No	No	No	No	No	No	No			
C	NHS Litigation Authority – Failure to maintain, or certify a minimum published CNSI level of 1.0 or have in place appropriate alternative arrangements		0	2.0	No	No	No	No	No	No	No			
<b>TOTAL</b>						0.5	1.0	2.0	1.5	1.0	1.0	1.0		
						G	AG	AR	AG	AG	AG	AG		

**RAG RATING :**  
**GREEN** = Score less than 1  
**AMBER/GREEN** = Score greater than or equal to 1, but less than 2  
**AMBER / RED** = Score greater than or equal to 2, but less than 4  
**RED** = Score greater than or equal to 4

Overriding Rules - Nature and Duration of Override at SHA's Discretion		Qtr to Dec-12	Qtr to Mar-13	Qtr to Jun-13	Jul-13	Aug-13	Sep-13	Qtr to Sep-13	Board Action				
i)	Meeting the MRSA Objective	Greater than six cases in the year to date, and breaches the cumulative year-to-date trajectory for three successive quarters	No	No	No	No	No	No					
ii)	Meeting the C-Diff Objective	Greater than 12 cases in the year to date, and either: Breaches the cumulative year-to-date trajectory for three successive quarters Reports important or significant outbreaks of C.difficile, as defined by the Health Protection Agency.	No	No	No	No	No	No					
iii)	RTT Waiting Times	Breaches: The admitted patients 18 weeks waiting time measure for a third successive quarter The non-admitted patients 18 weeks waiting time measure for a third successive quarter The incomplete pathway 18 weeks waiting time measure for a third successive quarter.	No	No	No	No	No	No					
iv)	A&E Clinical Quality Indicator	Fails to meet the A&E target twice in any two quarters over a 12-month period and fails the indicator in a quarter during the subsequent nine-month period or the full year.	No	No	No	No	No	No	2 failures during a 12 month period (Oct to Jun-12 and Qtr to Mar-13) with a subsequent failure Qtr to Jun-13. No override to be applied at this stage following				
v)	Cancer Wait Times	Breaches either: the 31-day cancer waiting time target for a third successive quarter the 62-day cancer waiting time target for a third successive quarter	No	No	No	No	No	No					
vi)	Ambulance Response Times	Breaches: the category A 8-minute response time target for a third successive quarter the category A 19-minute response time target for a third successive quarter either Red 1 or Red 2 targets for a third successive quarter	N/A	N/A	N/A	N/A	N/A	N/A					
vii)	Community Services data completeness	Fails to maintain the threshold for data completeness for: referral to treatment information for a third successive quarter; service referral information for a third successive quarter; or treatment activity information for a third successive quarter	N/A	N/A	N/A	N/A	N/A	N/A					
viii)	Any other Indicator - weighted 1.0	Breaches the indicator for three successive quarters.	No	No	No	No	No	No					
<b>Adjusted Governance Risk Rating</b>						0.5	1.0	2.0	1.5	1.0	1.0	1.0	
						G	AG	AR	AG	AG	AG	AG	

