

GOVERNANCE RISK RATINGS

Oxford University Hospitals NHS Trust

Insert YES, NO or N/A (as appropriate)

See 'Notes' for further detail of each of the below indicators

Area	Ref	Indicator	Sub Sections	Thresh- old	Weight- ing	Historic Data			Current Data				Comments where target not achieved		
						Qtr to Dec-11	Qtr to Mar-12	Qtr to Jun-12	Jul 12	Aug-12	Sep-12	Qtr to Sep-12			
Effectiveness	1a	Data completeness: Community services comprising:	Referral to treatment information	50%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a		
			Referral information	50%											
			Treatment activity information	50%											
	1b	Data completeness, community services: (may be introduced later)	Patient identifier information	50%	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
		Patients dying at home / care home	50%	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a		
	1c	Data completeness: identifiers MHMDS		97%	0.5	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
	1c	Data completeness: outcomes for patients on CPA		50%	0.5	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
Patient Experience	2a	From point of referral to treatment in aggregate (RTT) – admitted	Maximum time of 18 weeks	90%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	2b	From point of referral to treatment in aggregate (RTT) – non-admitted	Maximum time of 18 weeks	95%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	2c	From point of referral to treatment in aggregate (RTT) – patients on an incomplete pathway	Maximum time of 18 weeks	92%	1.0	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Returns based on historical data from Oct 2011 sourced from OXPAS for former ORH sites from Oct 2011 due to data quality issues within Cerner Millennium.	
	2d	Certification against compliance with requirements regarding access to healthcare for people with a learning disability		N/A	0.5	No	No	No	No	No	No	No	No	The Trust is currently implementing action plans to ensure compliance with these requirements.	
Quality	3a	All cancers: 31-day wait for second or subsequent treatment, comprising :	Surgery	94%	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	
			Anti cancer drug treatments	98%											
			Radiotherapy	94%											
	3b	All cancers: 62-day wait for first treatment:	From urgent GP referral for suspected cancer	85%	1.0	Yes	Yes	Yes	No	No	No	No	No	No	Figure for patients treated within 62 days of GP urgent referral 83.8% in both July and Aug. Screening referral threshold passed in July at 100% but 76.5% in Aug.
	From NHS Cancer Screening Service referral	90%													
	3c	All Cancers: 31-day wait from diagnosis to first treatment		96%	0.5	Yes	Yes	Yes	Yes	Yes			Yes		
	3d	Cancer: 2 week wait from referral to date first seen, comprising:	all urgent referrals	93%	0.5	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
			for symptomatic breast patients (cancer not initially suspected)	93%											
	3e	A&E: From arrival to admission/transfer/discharge	Maximum waiting time of four hours	95%	1.0	Yes		No	No	Yes	Yes	No	No	Reporting paused for data quality reasons from Dec 2011. July's figure was 93.6%, improving to 95.9% in August and 98.1% in September.	
	3f	Care Programme Approach (CPA) patients, comprising:	Receiving follow-up contact within 7 days of discharge	95%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a
			Having formal review within 12 months	95%											
	3g	Minimising mental health delayed transfers of care		≤7.5%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a
	3h	Admissions to inpatients services had access to Crisis Resolution/Home Treatment teams		95%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a
3i	Meeting commitment to serve new psychosis cases by early intervention teams		95%	0.5	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
3j	Category A call – emergency response within 8 minutes		75%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
3k	Category A call – ambulance vehicle arrives within 19 minutes		95%	1.0	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a	
Safety	4a	Clostridium Difficile	Are you below the ceiling for your monthly trajectory	Enter contractual ceiling	1.0	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	On target both in month (6 cases vs plan 7) and year to date (44 cases vs plan 46).	
	4b	MRSA	Are you below the ceiling for your monthly trajectory	Enter contractual ceiling	1.0	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	CQC Registration														
	A	Non-Compliance with CQC Essential Standards resulting in a Major Impact on Patients		0	2.0	No	No	No	No	No	No	No	No		
	B	Non-Compliance with CQC Essential Standards resulting in Enforcement Action		0	4.0	No	No	No	No	No	No	No	No		
C	NHS Litigation Authority – Failure to maintain, or certify a minimum published CNST level of 1.0 or have in place appropriate alternative arrangements		0	2.0	No	No	No	No	No	No	No	No			
TOTAL						1.5	0.5	2.5	2.5	1.5	0.5	2.5			

RAG RATING :
GREEN = Score less than 1
AMBER/GREEN = Score greater than or equal to 1, but less than 2
AMBER / RED = Score greater than or equal to 2, but less than 4
RED = Score greater than or equal to 4

Overriding Rules - Nature and Duration of Override at SHA's Discretion		Qtr to Dec-11	Qtr to Mar-12	Qtr to Jun-12	Jul 12	Aug-12	Sep-12	Qtr to Sep-12	Comments where target not achieved				
i)	Meeting the MRSA Objective Greater than six cases in the year to date, and either: Breaches the cumulative year-to-date trajectory for three successive quarters Breaches its full year objective	No	No	No	No	No	No	No					
ii)	Meeting the C-Diff Objective Greater than 12 cases in the year to date, and either: Breaches the cumulative year-to-date trajectory for three successive quarters Breaches its full year objective Reports important or significant outbreaks of C.difficile, as defined by the Health Protection Agency.	No	No	No	No	No	No	No					
iii)	RTT Waiting Times Breaches: The admitted patients 18 weeks waiting time measure for a third successive quarter The non-admitted patients 18 weeks waiting time measure for a third successive quarter The incomplete pathway 18 weeks waiting time measure for a third successive quarter	No	No	No	No	No	No	No					
iv)	A&E Clinical Quality Indicator Fails to meet the A&E target twice in any two quarters over a 12-month period and fails the indicator in a quarter during the subsequent nine-month period or the full year.	No	No	Yes	No	No	No	No	For Qtr to Jun-12: 2 failures during a 12 month period (Qtr to Dec-10, Qtr to Mar-11) and a failure in following 9 months (Qtr to Jun-12)				
v)	Cancer Wait Times Breaches either: the 31-day cancer waiting time target for a third successive quarter the 62-day cancer waiting time target for a third successive quarter	No	No	No	No	No	No	No					
vi)	Ambulance Response Times Breaches either: the category A 8-minute response time target for a third successive quarter the category A 19-minute response time target for a third successive quarter	N/a	N/a	N/a	N/a	N/a	N/a	N/a					
vii)	Community Services data completeness Fails to maintain the threshold for data completeness for: referral to treatment information for a third successive quarter, service referral information for a third successive quarter, or, treatment activity information for a third successive quarter	N/a	N/a	N/a	N/a	N/a	N/a	N/a					
viii)	Any Indicator weighted 1.0 Breaches the indicator for three successive quarters.	No	No	No	No	No	No	No					
Number of Overrides Triggered						0.0	0.0	1.0	0.0	0.0	0.0	0.0	