

Cover Sheet

Trust Board Meeting in Public: Wednesday 8 May 2024

TB2024.44

Title: Register of Interests, Gifts, Hospitality and Sponsorship

Status: For Information

History: This is an Annual Report, reviewed by Audit Committee 24
April 2024

Board Lead: Chief Assurance Officer

Author: Laura Lauer, Deputy Head of Corporate Governance

Confidential: No

Key Purpose: Assurance

Register of Interests, Gifts, Hospitality and Sponsorship

1. Background

- 1.1. The Trust's revised Declaration of Interests, Gifts, Hospitality and Sponsorship Policy was approved by the Board at its public meeting on 17 January 2024. These revisions were necessitated by a new, internally-developed system to simplify the making and recording of declarations.
- 1.2. Staff above a certain grade are required to declare any interests at least annually. As part of the annual revalidation and appraisal process, all doctors are required to declare any interests.
- 1.3. The revised policy and process were communicated to all staff via Trust-wide email and, additionally for doctors, through targeted messages from the revalidation team.

2. Declarations and Register of Interests, Gifts, Hospitality and Sponsorship

- 2.1. The Trust's Standing Orders require members of the Trust Board to declare any personal interests that may bear on the discharge of their duties as Directors of the Trust. Each member is required to declare interests on appointment, and subsequently each year, or at any point if their personal position changes during the year. Members are specifically asked at the commencement of all public and confidential Board meetings as well as meetings of Board sub-committees to declare any interests deriving from the agenda of that meeting. Declared interests are retained for public scrutiny and published on the Trust website and in the Annual Report.
- 2.2. Under the Trust's Policy, all members of staff are required to declare any gifts valued at over £50 (or multiple gifts with a combined value of over £50), hospitality valued at over £25 and all sponsorship, having first sought approval from their line manager. In line with the Bribery Act 2010, employees should refuse gifts, hospitality or sponsorship or benefits from a third party which may compromise or may be seen to compromise their professional position. This may in certain circumstances also include a gift, hospitality or sponsorship offered to a family member.
- 2.3. In March 2024, all members of the Trust Board were sent a link to the Policy and invited to review their recorded declarations and profile on the Trust website.
- 2.4. Board members' declarations of interest have been cross referenced against entities and individuals assessed by the Department of Health and

Social Care (DHSC) as meeting the IAS 24 definition of Related Parties 2023/24 (in the Reading Room for information).

- 2.5. Professor Schapira, in his role as Professor of Neurology at UCL, has declared receipt of research grant funding from the Medical Research Council. As the grant funding and research activity takes place outside the Trust, this interest is not deemed to be a conflict.
- 2.6. The Trust's external auditors review Companies House records to provide a check against declarations recorded in the Register. The annual Fit and Proper Persons process also includes extensive background checking.
- 2.7. The Register containing Board members' declarations for the year 2023/24 will be submitted to the Trust Board and these declarations will be included in the Annual Report 2023/24.
- 2.8. A register containing details of declarations made by all staff other than members of the Trust Board is maintained by the Corporate Governance team and is available for inspection upon request. It is planned to publish the register on the Trust website.

3. Recommendations

- 3.1. The Trust Board is asked to:
 - Note the contents of the Register of Interests, Gifts, Hospitality, and Sponsorship for the Trust Board; and
 - Consider any potential conflicts of interest arising from the entries in the register.

Appendix

Board of Directors' Register of Interests, Gifts, Hospitality, and Sponsorship - 1 April 2023 – 31 March 2024

Name and Title	Interests	Gifts, Hospitality, Sponsorship
<p>Professor Sir Jonathan Montgomery, Trust Chair</p>	<ul style="list-style-type: none"> • University College London – Professor • Visiting Professor in Bioethics Governance, University of Oxford • CIS'ters – patron • All Saints, Botley, Hampshire Parish Church - PCC Member and Trustee • Chair of Trustees for the Portsmouth Diocesan Council for Social Responsibility • Chair, Ethics Advisory Committee, Genomics England (from 1 November 2023) • Member, Bayer Bioethics Council (to 31 October 2023) • Chair, Expert Group to advise on compensation arrangements arising from the Infected Blood Inquiry (from 22 January 2024) • Book royalties, Oxford University Press 	<p>None</p>
<p>Professor Meghana Pandit, Chief Executive Officer</p>	<ul style="list-style-type: none"> • Honorary Professor Warwick University • Non-Executive Director, Medical Protection Society • Trustee, Medical Detection Dogs 	<p>23 October 2023 - Travel and accommodation paid for by Siemens to enable participation in Healthineers Executive Summit in Germany, value estimated at £400</p>

Name and Title	Interests	Gifts, Hospitality, Sponsorship
Ms Claire Flint, Senior Independent Director and Non-Executive Director	<ul style="list-style-type: none"> • Senior Independent Director, Remuneration Committee Chair, and Non-Executive Director, AWE • Close relative is a Trustee of The Oxford Trust 	None
Ms Sarah Hordern, Vice-Chair and Non-Executive Director	<ul style="list-style-type: none"> • Director, GVS Equestrian Consulting Ltd • Director and sole shareholder, Perspicio Ltd • Chair, NHS Homes Alliance 	None
Mr Paul Dean, Non-Executive Director from 4 September 2023	<ul style="list-style-type: none"> • Trustee and Treasurer, The Oxford Trust • Trustee and Treasurer, Buckland Memorial Hall 	None
Dr Claire Feehily, Non-Executive Director from 1 December 2023	<ul style="list-style-type: none"> • Non-Executive Director, Senior Independent Director, and Audit Chair, NHS BSW ICB • Trustee, The Brandon Trust • Trustee, Bletchley Park Trust • Member, The Honourable Company of Gloucestershire 	None
Ms Katie Kapernaros, Non-Executive Director	<ul style="list-style-type: none"> • Non-Executive Director, The Property Ombudsman • Non-Executive Director, The Pensions Regulator • Non-Executive Director, Manx Care • Trustee, Wallingford Rowing Club 	None
Professor Anthony Schapira, Non-Executive Director	<ul style="list-style-type: none"> • University College London, Professor and Head of Department 	Research grant funding from:

Name and Title	Interests	Gifts, Hospitality, Sponsorship
	<ul style="list-style-type: none"> • Member of Executive of Oxford University Clinic • NHS Independent Reconfiguration Panel • Book royalties from: Wiley, Oxford University Press, Elsevier, Cambridge University Press 	<ul style="list-style-type: none"> • Medical Research Council • Parkinson's UK • Cure Parkinson's Trust • Michael J Fox Foundation
Professor Gavin Screatton, Non-Executive Director	<ul style="list-style-type: none"> • Vaccine Scientific Advisory Board, GSK Consultant • Trustee, Jenner Vaccine Foundation • University College Oxford – Fellow • Head of Division Member of Council - Medical Sciences Division, Oxford University • RQ Bio, Consultant and minority shareholding 	None
Professor Ash Soni, Non-Executive Director	<ul style="list-style-type: none"> • Non-Executive Director, Sussex Integrated Care Board • Owner, Copes Pharmacy; Stansted Pharmacy • Part-owner, Docklands Pharmacy • President, National Association of Primary Care 	None
Ms Joy Warmington, Non-Executive Director	<ul style="list-style-type: none"> • Trustee, The Active Wellbeing Society (to July 2023) • Chief Executive Officer, brap 	None
Dr Andrew Brent, Chief Medical Officer from 9 October 2023	<ul style="list-style-type: none"> • Member and Trustee/Director of the Europa School UK Academy Trust 	None

Name and Title	Interests	Gifts, Hospitality, Sponsorship
Mr Jason Dorsett, Chief Finance Officer	<ul style="list-style-type: none"> • Director of OUH Commercial Partners Limited • Member of OUH Ventures LLP 	Occasional paid for (honoraria) for market research interviews. End clients are anonymous. Market research agencies include MindGap, Suazio, Guidepoint and Coleman. Value £3,500 pa
Ms Paula Gardner, Interim Chief Nursing Officer	None	None
Ms Sara Randall, Chief Operating Officer	<ul style="list-style-type: none"> • Governor, Sandhills Primary School, Oxford 	None
Mr Terry Roberts, Chief People Officer	<ul style="list-style-type: none"> • Director of Transform Consultancy Limited 	16 November 2023 – Attendance at awards event: Three course dinner with glass of wine paid for by Mitie, value £250 (the Trust and Mitie’s work was shortlisted for the <i>Health Service Journal</i> Cost of Living awards)
Mr David Walliker, Chief Digital and Partnerships Officer	<ul style="list-style-type: none"> • Governor, Ysgol Penmorfa, Prestatyn 	None
Ms Eileen Walsh, Chief Assurance Officer	<ul style="list-style-type: none"> • Director in Health Governance Consulting Limited • Partner in Kirby and Kirby 	None

Register of Interests, Gifts, Hospitality, and Sponsorship for members who left the Board between 1 April 2023 – 31 March 2024

Name and Title	Interests	Gifts, Hospitality, Sponsorship
Ms Rachel Stanfield, Acting Chief People Officer <i>To 31 July 2023</i>	<ul style="list-style-type: none"> • None 	None
Dr Anny Sykes, Interim Chief Medical Officer <i>To 8 October 2023</i>	<ul style="list-style-type: none"> • None 	None
Mrs Paula Hay-Plumb, Non-Executive Director <i>To 3 September 2023</i>	<ul style="list-style-type: none"> • The Crown Estate – Board Counsellor • Trustee of Calthorpe Estates and Director of associated Calthorpe property companies • Michelmersh Brick Holdings PLC – Non-Executive Director • Trustee of the Mineworkers’ Pension Scheme 	None
Ms Anne Tutt, Vice-Chair and Non-Executive Director, Senior Independent Director <i>To 30 November 2023</i>	<ul style="list-style-type: none"> • Co-opted lay member of Council for Swansea University • Ownership of a private business - ATuttAssociates LTD • Co-opted Board member Hook Norton Community Land Trust Ltd, a Community Benefit Society • Member of Executive of Oxford University Clinic • Director and Trustee of Oxford Hospitals Charity 	None

Name and Title	Interests	Gifts, Hospitality, Sponsorship
	<ul style="list-style-type: none">• Board Member of IASAB (the internal Audit Standard Advisory Board), government body advising on the application of Internal Audit Standards in the public sector• Treasurer and Trustee of Action Aid UK• Member of the Advisory Board - The Episcopal Churches of South Sudan & Sudan University Partnership	

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